



2634

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PATENT

Attorney Docket No.: MLNR-06501

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gwilym Luff

Serial No.: 09/715,556

Filed: November 17, 2000

For: **IRIS DATA RECOVERY  
ALGORITHMS**

) Group Art Unit: 2634

) Examiner: Perilla, Jason M.

**TRANSMITTAL LETTER**) 162 North Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

) Customer No. 28960

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find an Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 11-19-04

By: *Thomas B. Haverstock*  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

## CERTIFICATE OF MAILING (37 CFR § 1.8(e))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP  
Date: 11/19/04 By: *Thomas B. Haverstock*



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In re Application of:	)	Group Art Unit: 2634
Gwilym Luff	)	Examiner: Perilla, Jason M.
Serial No.: 09/715,556	)	<b><u>CERTIFICATION FOR</u></b>
Filed: November 17, 2000	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
For: <b>IRIS DATA RECOVERY</b>	)	<b><u>DISCLOSURE STATEMENT UNDER 37</u></b>
<b>ALGORITHMS</b>	)	<b><u>CFR § 1.97(e)</u></b>
	)	162 N. Wolfe Road
	)	Sunnyvale, CA 94086
	)	(408) 530-9700
	)	Customer No. 28960

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

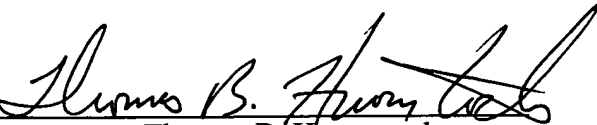
I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on October 06, 2004. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 11-19-04


By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

**CERTIFICATE OF MAILING (37 CFR§ 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 11/19/04 By: 



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	)	
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	)	
Serial No.: 09/715,556	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
	)	<b><u>DISCLOSURE STATEMENT</u></b>
Filed: November 17, 2000	)	
	)	
For: <b>IRIS DATA RECOVERY</b>	)	162 North Wolfe Road
<b>ALGORITHMS</b>	)	Sunnyvale, California 94086
	)	(408)530-9700
	)	

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Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 4,737,842;
- U.S. Patent No. 5,018,524;
- U.S. Patent No. 5,633,896;
- U.S. Patent No. 6,275,101 B1; and
- U.S. Patent No. 6,516,186 B1.

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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 11-19-04

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.  
Date: 11/19/04 By: [Signature]

FORM PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: MLNR-06501

Serial No.: 09/715,556

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

Applicant: Gwilym Luff

Filing Date: November 17, 2000

Group Art Unit: 2634

## U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	4,737,842	04/12/88	Nagasaki	358	27	12/01/86
	AB	5,018,524	05/28/91	Gu et al.	128	421	08/15/88
	AC	5,633,896	05/27/97	Carlin et al.	375	340	02/21/96
	AD	6,275,101 B1	08/14/01	Underhill	327	551	02/14/97
	AE	6,516,186 B1	02/04/03	Yamagishi et al.	455	302	09/22/99
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AP							
	AQ							
	AR							
	AS							
	AT							

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	AU	
	AV	
	AW	
	AX	
	AY	
	AZ	
	BA	
	BB	
	BC	

Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.